

**MEDICAL RECORD DOCUMENTATION COMPLIANCE EVALUATION
SELF AUDIT**

INDICATOR	YES	NO
1. Are allergies/NKA/drug interactions prominently located?		
2. Are all entries signed/initialed?		
3. Do all pages contain Patient ID ?		
4. Are all entries legible?		
5. Are all entries dated?		
6. Is there an up to date problem list?		
7. Is there an up to date medication list?		
8. Is there an up to date immunization record?		
9. Is the immunization record centralized?		
10. Is Advance Directives inquiry prominently displayed?		
11. Is the medical record stored in a confidential manner?		
12. Is the medical record organized for easy retrieval of information?		
13. Has a medical history been documented in the last 3-5 years?		
14. Has a family history been documented in the last 3-5 years?		
15. Has a social history been documented in the last 3-5 years?		
16. Has substance use (drugs, alcohol and tobacco) been documented in the last 3-5 years?		
17. Has safety education been documented?		
18. Is there documentation that a complete physical exam (head to toe) has been performed every 3-5 years, depending on age?		
19. Has abuse inquiry been documented?		
20. Is reason for visit documented for each visit?		
21. Are there objective physical findings documented for each visit?		
22. Is there a diagnosis documented for each visit?		
23. Is treatment rendered documented for each visit?		
24. Is there a notation regarding return visit for each visit?		
25. Are problems from previous visits addressed?		
26. Are referrals issued appropriately?		
27. Is there evidence of continuity and coordination of care between PCP and specialists? (letters, reports etc.)		
28. Are all reports signed and dated upon review by PCP?		
29. Is follow-up of abnormal test results documented?		
30. Is smoking inquiry documented at each visit?		
31. Is smoking cessation counseling documented at each visit?		
32. Are preventive services appropriately performed?		
33. Is patient education documented based on diagnosis?		
34. Are there lead risk assessments documented at 12 and 24 months, or once between 3-6 yrs if not done earlier?		
35. Are there lead testing results on all Medicaid children and other high-risk children by age 24 months?		